



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Peter J. Kaehler, et al.

Serial No.:

10/687,223

Filed:

Title:

October 15, 2003

BENEFIT MANAGEMENT

Confirmation No.

Examiner:

2931 Amber L. Altschul

Art Unit:

3626

Docket:

110.0010001

#### MS AMENDMENT

Commissioner for Patents P.O. BOX 1450 Alexandria, VA 22313-1450

We are transmitting herewith the following attached items and information (as indicated with an "X"):

Return postcard (1). X

 $\underline{\mathbf{X}}$ Supplemental Information Disclosure Statement (2 pgs.).

 $\underline{\mathbf{X}}$ IDS Form 1449 (1 pgs.).

#### **Customer Number 38356**

**CERTIFICATE UNDER 37 C.F.R. §1.8:** The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS AMENDMENT Commissioner for Patents, P.O. BOX 1450, Alexandria, VA 22313-1450, on this day of March, 2007.

Signature

Respectfully Submitted, Peter J. Kaehler, et al.

By:

**BROOKS & CAMERON, PLLC** 1221 Nicollet Avenue, Suite 500 Minneapolis, MN 55403

Atty:

Edward J. Brooks IM

Reg. No.: 40,925

Date:



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Confirmation No.:

2931

Applicant:

Peter J. Kaehler, et al.

Examiner:

Amber L. Altschul

Serial No.:

10/687,223

Group Art Unit:

3623

Filed:

October 15, 2003

Docket:

110.0010001

Title:

BENEFIT MANAGEMENT

## INFORMATION DISCLOSURE STATEMENT

#### MS AMENDMENT

Commissioner for Patents P.O. BOX 1450 Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 et. seq., the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants further request that a copy of the 1449 form, initialed by the Examiner to indicate that all listed citations have been considered, be returned with the next official communication.

Under 37 C.F.R. § 1.97(b)(3), it is believed that no fee or certificate is required with this Information Disclosure Statement.

Applicant(s) submit herewith PTO Form 1449 together with any required copies of patents, publications or other information which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance 37 C.F.R. §1.56.

Information Disclosure Statement Application No.: 10/687,223

Page 2

The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

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Name

Signature

Respectfully Submitted, Peter J. Kaehler, et al.

By their Representatives, Brooks & Cameron, PLLC 1221 Nicollet Avenue, Suite 500 Minneapolis, MN 55403 (612) 236-0120

Edward J. Brooks I/I

Reg. No. 40,925

Date:

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
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Substitute for form 1449/PTO

Sheet 1

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known		
Application Number	10/687,223	
Filing Date	October 15, 2003	
First Named Inventor	Peter J. Kaehler	
Art Unit	3623	
Examiner Name	Amber L. Altschul	
Attorney Docket Number	110 0010001	

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		<sup>US-</sup> 7,174,302	02-06-2007	Patricelli et al.	
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		FORE	<b>IGN PATENT DOCU</b>	MENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Γ
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T
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Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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